

Social Care & Health Scrutiny Report
Budget Monitoring as at 31st March 2019 - Summary

Division	Working Budget				Actual				Mar 2019 Actual Variance for Year £'000	Feb 2019 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Adult Services										
Older People	58,720	-20,257	4,636	43,099	59,953	-21,003	4,637	43,587	487	320
Physical Disabilities	7,067	-1,394	303	5,976	7,533	-2,027	303	5,808	-168	58
Learning Disabilities	36,693	-10,398	1,963	28,258	37,353	-10,519	1,963	28,798	540	274
Mental Health	9,834	-3,339	380	6,875	10,056	-3,595	380	6,842	-33	-1
Support	2,647	-2,969	1,534	1,212	1,085	-1,418	1,534	1,202	-10	108
GRAND TOTAL	114,962	-38,357	8,816	85,421	115,981	-38,561	8,816	86,236	816	760

Social Care & Health Scrutiny Report

Budget Monitoring as at 31st March 2019 - Main Variances

Division	Working Budget		Actual		Mar 2019	Notes	Feb 2019
	Expenditure £'000	Income £'000	Expenditure £'000	Income £'000	Actual Variance for Year £'000		Forecasted Variance for Year £'000
Adult Services							
Older People							
Older People - Commissioning	3,668	-503	3,534	-567	-198	The following posts were vacant at the end of year 3 Care Management Assistants; 3 Social Work posts; 3 Therapy Support Workers - the Social Work and Care Management Assistant posts have become vacant during the year. Additional cover totalling £60k has been supported ie additional hours Social Worker from part time to full time and additional temporary Care Management Assistants: these posts are now filled / postholder returned to work. Additional Intermediate Care Fund income re dementia projects totalled £60k. Regional Dementia project led by Hywel Dda had slippage in 2018-2019; CCC successfully bid for additional contribution towards Care Management staff that undertake supporting dementia duties.	-205
Older People - LA Homes	7,970	-3,206	8,265	-3,264	236	Cost of agency staff and additional staff pressures due to workforce recruitment issues in parts of the county partially offset by underspends in some staffing budgets:	102
Older People - Private/ Vol Homes	22,944	-11,797	23,353	-11,872	334	Performance data shows increased demographic pressure on demand in line with national information that shows a year on year increase in Older People of 3.4%pa. Preventative work continues to be reviewed to mitigate the effects of this.	317
Older People - LA Home Care	6,197	-433	6,444	-499	181	Allied contract now provided by in-house Domiciliary Care service - additional cost of £480k. Cost of upgrading management system £90k Offset by underspend on staff. Significant recruitment has been undertaken during the year and the number of unfilled posts is fewer at the end of the financial year.	92

Social Care & Health Scrutiny Report

Budget Monitoring as at 31st March 2019 - Main Variances

Division	Working Budget		Actual		Mar 2019 Actual Variance for Year £'000	Notes	Feb 2019 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Expenditure £'000	Income £'000			
Older People - Private Home Care	10,040	-2,164	10,627	-2,533	218	There has been a significant increase in demand for domiciliary care that has only been partly offset by a fall in residential care placements. There is significant departmental work to monitor and manage demand by audit assessment practice and by continuing to promote independent living through Integrated Care Fund initiatives such as Releasing Time to Care resulting in lower demand eg for double handed care packages. We are currently reviewing whether this initiative requires additional resources to yield the necessary financial savings. Significant change since October position: Allied contract now provided by in-house Domiciliary Care service. This is offset by a decrease in packages bought in the private sector, however overall across Domiciliary Care, the growing number of Older People at 3.4% pa continues to put pressure on the budget.	219
Older People - Enablement	2,052	-444	1,749	-444	-303	Staff vacancies - recruitment and strategic issues being addressed.	-177
Older People - Day Services	1,226	-71	1,258	-73	30	Outstanding unmet efficiency relating to in house day services provision from 2016/2017 £202k offset by lower spend in remaining in-house provision and placements.	35
Physical Disabilities							
Phys Dis - Commissioning & OT Services	597	-131	500	-135	-101	Senior Practitioner post vacant since December 2018; an Occupational Therapist postholder works 23 hours, budget is for 37 hours and an Occupational Therapy Assistant postholder works 18.5 hour in a post with a budget for 37 hours. 1 Occupational Therapist post and 1 Occupational Therapy Assistant post - vacant all year.	-95
Phys Dis - Private/Vol Homes	1,011	-66	1,153	-119	89	Performance data shows pressure on demand as complexity of placement increases with this client group. Preventative work continues to be reviewed to mitigate the effects of this.	49
Phys Dis - Group Homes/Supported Living	1,159	-160	999	-98	-99	Work ongoing with providers to manage levels of additional support and hourly rates.	-46
Phys Dis - Direct Payments	2,477	-555	2,828	-1,031	-125	Audit and Review of Direct Payments alongside annual review and transfer of WILG (Welsh Independent Living Grant) clients resulting in recovery of contingencies and unspent amounts.	170
Learning Disabilities							
Learn Dis - Private/Vol Homes	10,197	-3,241	10,116	-3,327	-167	Costs reducing as various projects begin to take effect: work on alternative provision, deregistration, Positive Behaviour Service intervention for additional hours etc.	-183

Social Care & Health Scrutiny Report

Budget Monitoring as at 31st March 2019 - Main Variances

Division	Working Budget		Actual		Mar 2019	Notes	Feb 2019
	Expenditure £'000	Income £'000	Expenditure £'000	Income £'000	Actual Variance for Year £'000		Forecasted Variance for Year £'000
Learn Dis - Direct Payments	2,259	-526	2,712	-562	418	Direct Payments increasing. Work ongoing linked to Review of Direct Payments and transfer of WILG (Welsh Independent Living Grant) clients	232
Learn Dis - Group Homes/Supported Living	8,932	-2,158	9,322	-2,313	235	Costs increasing as a result of successful deregistration of residential beds - promotes independence for clients and has lower costs overall across the spectrum to packages of care. Increase also linked to transfer of WILG (Welsh Independent Living Grant) clients transferring into this type of provision.	226
Learn Dis - Adult Respite Care	1,008	-812	953	-812	-55	Vacant Manager post, several staff not in pension scheme.	-48
Other Variances - Adult Services					123		72
Grand Total					816		760

Social Care & Health Scrutiny Report

Budget Monitoring as at 31st March 2019 - Detail Monitoring

Division	Working Budget				Actual				Mar 2019	Notes	Feb 2019
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Actual Variance for Year £'000		Forecasted Variance for Year £'000
Adult Services											
Older People											
Older People - Commissioning	3,668	-503	824	3,989	3,534	-567	824	3,791	-198	The following posts were vacant at the end of year 3 Care Management Assistants; 3 Social Work posts; 3 Therapy Support Workers - the Social Work and Care Management Assistant posts have become vacant during the year. Additional cover totalling £60k has been supported ie additional hours Social Worker from part time to full time and additional temporary Care Management Assistants: these posts are now filled / postholder returned to work. Additional Intermediate Care Fund income re dementia projects totalled £60k. Regional Dementia project led by Hywel Dda had slippage in 2018-2019; CCC successfully bid for additional contribution towards Care Management staff that undertake supporting dementia duties.	-205
Older People - LA Homes	7,970	-3,206	1,592	6,357	8,265	-3,264	1,592	6,593	236	Cost of agency staff and additional staff pressures due to workforce recruitment issues in parts of the county partially offset by underspends in some staffing budgets:	102
Older People - Supported Living	94	0	0	94	94	-18	0	76	-17		0
Older People - Private/ Vol Homes	22,944	-11,797	246	11,393	23,353	-11,872	246	11,727	334	Performance data shows increased demographic pressure on demand in line with national information that shows a year on year increase in Older People of 3.4%pa. Preventative work continues to be reviewed to mitigate the effects of this.	317
Older People - Private Day Care	28	0	0	28	26	0	0	26	-1		0
Older People - Extra Care	825	0	10	835	826	0	10	836	1		-0
Older People - LA Home Care	6,197	-433	991	6,756	6,444	-499	991	6,937	181	Allied contract now provided by in-house Domiciliary Care service - additional cost of £480k. Cost of upgrading management system £90k Offset by underspend on staff. Significant recruitment has been undertaken during the year and the number of unfilled posts is fewer at the end of the financial year.	92
Older People - MOW's	135	-51	16	100	135	-51	16	100	-0		-68
Older People - Direct Payments	1,102	-287	4	818	997	-191	4	811	-7		10
Older People - Grants	424	-83	12	353	487	-141	12	358	5		-5

Social Care & Health Scrutiny Report

Budget Monitoring as at 31st March 2019 - Detail Monitoring

Division	Working Budget				Actual				Mar 2019	Notes	Feb 2019
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Actual Variance for Year £'000		Forecasted Variance for Year £'000
Older People - Private Home Care	10,041	-2,164	110	7,987	10,628	-2,533	110	8,205	218	There has been a significant increase in demand for domiciliary care that has only been partly offset by a fall in residential care placements. There is significant departmental work to monitor and manage demand by audit assessment practice and by continuing to promote independent living through Integrated Care Fund initiatives such as Releasing Time to Care resulting in lower demand eg for double handed care packages. We are currently reviewing whether this initiative requires additional resources to yield the necessary financial savings. Significant change since October position: Allied contract now provided by in-house Domiciliary Care service. This is offset by a decrease in packages bought in the private sector, however overall across Domiciliary Care, the growing number of Older People at 3.4% pa continues to put pressure on the budget.	219
Older People - Ssmms	395	-168	271	498	399	-174	271	495	-3		0
Older People - Careline	1,617	-1,050	104	671	1,757	-1,178	104	683	12		-0
Older People - Enablement	2,052	-444	281	1,889	1,749	-444	281	1,587	-303	Staff vacancies - recruitment and strategic issues being addressed.	-177
Older People - Day Services	1,226	-71	175	1,331	1,258	-73	175	1,360	30	Outstanding unmet efficiency relating to in house day services provision from 2016/2017 £202k offset by lower spend in remaining in-house provision and placements.	35
Older People Total	58,719	-20,257	4,636	43,098	59,952	-21,003	4,637	43,586	487		320
Physical Disabilities											
Phys Dis - Commissioning & OT Services	597	-131	92	558	500	-135	92	456	-101	Senior Practitioner post vacant since December 2018; an Occupational Therapist postholder works 23 hours, budget is for 37 hours and an Occupational Therapy Assistant postholder works 18.5 hour in a post with a budget for 37 hours. 1 Occupational Therapist post and 1 Occupational Therapy Assistant post - vacant all year.	-95
Phys Dis - Private/Vol Homes	1,011	-66	6	951	1,153	-119	6	1,040	89	Performance data shows pressure on demand as complexity of placement increases with this client group. Preventative work continues to be reviewed to mitigate the effects of this.	49
Phys Dis - Group Homes/Supported Living	1,159	-160	24	1,023	999	-98	24	924	-99	Work ongoing with providers to manage levels of additional support and hourly rates.	-46
Phys Dis - Community Support	145	0	1	146	113	0	1	114	-32		-13
Phys Dis - Private Home Care	358	-85	0	273	362	-81	0	281	9		0
Phys Dis - Aids & Equipment	747	-397	169	519	1,013	-562	169	620	101	Purchase of aids and equipment	-6
Phys Dis - Grants	154	0	0	154	147	0	0	147	-7		-0

Social Care & Health Scrutiny Report

Budget Monitoring as at 31st March 2019 - Detail Monitoring

Division	Working Budget				Actual				Mar 2019 Actual Variance for Year £'000	Notes	Feb 2019 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000			
Phys Dis - Direct Payments	2,477	-555	11	1,933	2,828	-1,031	11	1,807	-125	Audit and Review of Direct Payments alongside annual review and transfer of WILG (Welsh Independent Living Grant) clients resulting in recovery of contingencies and unspent amounts.	170
Phys Dis - Manual Handling	4	0	0	4	1	0	0	1	-2		-0
Phys Dis - Independent Living Fund	417	0	0	417	417	0	0	417	-0		-0
Physical Disabilities Total	7,067	-1,394	303	5,976	7,533	-2,027	303	5,808	-168		58
Learning Disabilities											
Learn Dis - Employment & Training	2,171	-326	512	2,357	2,280	-308	512	2,484	127	Workchoice project decommissioned - was projected to contribute net income to budget therefore project termination has a detrimental effect in year of £132k	138
Learn Dis - Commissioning	934	0	203	1,137	905	0	203	1,108	-29		-37
Learn Dis - Private/Vol Homes	10,197	-3,241	82	7,038	10,116	-3,327	82	6,872	-167	Costs reducing as various projects begin to take effect: work on alternative provision, deregistration, Positive Behaviour Service intervention for additional hours etc.	-183
Learn Dis - Direct Payments	2,259	-526	0	1,733	2,712	-562	0	2,150	418	Direct Payments increasing. Work ongoing linked to Review of Direct Payments and transfer of WILG (Welsh Independent Living Grant) clients	232
Learn Dis - Group Homes/Supported Living	8,932	-2,158	46	6,820	9,322	-2,313	46	7,055	235	Costs increasing as a result of successful deregistration of residential beds - promotes independence for clients and has lower costs overall across the spectrum to packages of care. Increase also linked to transfer of WILG (Welsh Independent Living Grant) clients transferring into this type of provision.	226
Learn Dis - Adult Respite Care	1,008	-812	187	383	953	-812	187	328	-55	Vacant Manager post, several staff not in pension scheme.	-48
Learn Dis - Home Care Service	305	-189	0	117	307	-195	0	112	-4		-0
Learn Dis - Day Services	3,760	-518	548	3,791	3,740	-523	548	3,765	-26		-35
Learn Dis - Transition Service	536	0	141	676	521	0	141	662	-15		-13
Learn Dis - Community Support	1,953	-154	17	1,816	1,942	-141	17	1,818	3		1
Learn Dis - Grants	305	0	3	308	337	0	3	340	31		0
Learn Dis - Adult Placement/Shared Lives	3,138	-2,294	136	980	3,012	-2,159	136	989	10		-6
Learn Dis/M Health - Ssmss	-102	0	89	-12	-89	0	89	-0	12		-4
Learn Dis - Independent Living Fund	1,295	-180	0	1,115	1,295	-180	0	1,115	-0		0
Learning Disabilities Total	36,693	-10,398	1,963	28,258	37,353	-10,519	1,963	28,798	540		274

Social Care & Health Scrutiny Report

Budget Monitoring as at 31st March 2019 - Detail Monitoring

Division	Working Budget				Actual				Mar 2019 Actual Variance for Year £'000	Notes	Feb 2019 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000			
Mental Health											
M Health - Commissioning	1,085	-79	179	1,185	1,091	-69	179	1,201	16		-12
M Health - Private/Vol Homes	6,250	-2,573	51	3,728	6,373	-2,712	51	3,712	-16		-39
M Health - Private/Vol Homes (Substance Misuse)	142	-32	0	110	77	-26	0	51	-59	Work continuing to promote independent living and reduce cost of care packages accordingly. Client group difficult to forecast costs as demand led at short notice; forecasts are based on existing levels of packages and work is ongoing to develop alternative provision options	-0
M Health - Group Homes/Supported Living	1,054	-372	4	685	1,235	-474	4	765	80	Work continuing to promote independent living and reduce cost of care packages accordingly. Client group difficult to forecast costs as demand led at short notice; forecasts are based on existing levels of packages and work is ongoing to develop alternative provision options	125
M Health - Direct Payments	147	-42	1	106	134	-26	1	108	2		1
M Health - Community Support	495	-71	9	432	494	-93	9	409	-23		-46
M Health - Day Services	248	-10	60	297	236	-2	60	293	-4		1
M Health - Private Home Care	83	-27	0	56	113	-57	0	57	1		-0
M Health - Substance Misuse Team	331	-132	76	274	303	-134	76	245	-29		-31
Mental Health Total	9,834	-3,339	380	6,875	10,056	-3,595	380	6,842	-33		-1
Support											
Departmental Support	-936	-225	891	-270	-962	-189	891	-261	9		43
Performance, Analysis & Systems	-42	-37	80	0	-43	-37	80	-0	-0		-2
Adult Safeguarding & Commissioning Team	1,232	-18	248	1,462	1,242	-18	248	1,472	10		61
Regional Collaborative	939	-1,051	149	37	874	-1,020	149	3	-34		-0
Holding Acc-Transport	1,454	-1,638	167	-17	-25	-153	167	-12	5		6
Support Total	2,647	-2,969	1,534	1,212	1,085	-1,418	1,534	1,202	-10		108
TOTAL FOR SOCIAL CARE & HEALTH SERVICE	114,961	-38,357	8,816	85,420	115,980	-38,561	8,816	86,235	816		760